



Application No. (if known): 10/781,703

Attorney Docket No.: E3331.0629

Certificate of Express Mailing Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbill No. EV 451115723 US in an envelope addressed to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450
Attn: MS Missing Parts

on October 12, 2004
Date

Carol McFarlane-Fishberg
Signature

Carol A. McFarlane-Fishberg
Typed or printed name of person signing Certificate

Registration Number, if applicable

(212) 896-5456
Telephone Number

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Combined Declaration and Power of Attorney (2 pages);
Response To Notice To File Missing Parts (2 pages);
Part 2 Copy of Notice;
Submission of Formal Drawings (1 page);
Formal Drawings (5 Figures, 4 Sheets) – Figures 1-5;
Request for Extension of Time (2 pages);
Payment by credit card. Form PT0-2038 is attached (1 page); and
Charge \$1110.00 to credit card.



Docket No.: E3331.0629
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Edward R. Howorka et al.

Application No.: 10/781,703

Filed: February 20, 2004

For: VOCALISATION OF TRADING DATA IN
TRADING SYSTEMS

Confirmation No.: 4196

Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

MS Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In response to the Notice to File Missing Parts of Application mailed May 12, 2004, Applicants respectfully submit a Combined Declaration and Power of Attorney, Formal Drawings (5 Figures, 4 Sheets – Figures 1-5), and Part 2 Copy of Notice.

Please charge our Credit Card in the amount of \$130.00 covering the fee set forth in 37 CFR 1.16(e). Credit Card Payment Form SB-2038, with a signature from an authorized cardholder, is enclosed.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 50-2215.

Dated: October 12, 2004

Respectfully submitted,

By 
Edward A. Meilman

Registration No.: 24,735
DICKSTEIN SHAPIRO MORIN &
OSHINSKY LLP
1177 Avenue of the Americas
41st Floor
New York, New York 10036-2714
(212) 835-1400
Attorney for Applicant



10-13-04
UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

IFW

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/781,703	02/20/2004	Edward R. Howorka	E3331.0629

Dickstein Shapiro Morin & Oshinsky LLP
41st Floor
1177 Avenue of the Americas
New York, NY 10036-2714

CONFIRMATION NO. 4196
FORMALITIES LETTER



OC000000012616658

Date Mailed: 05/12/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/14/2004 HDEMESS1 00000048 10781703

FILED UNDER 37 CFR 1.53(b)

01 FC:1051

130.00 DP

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$130** for a Large Entity

- **\$130** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*

T.TU

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE